

# Hoare Logic

Specifications of the form  $\{P\}C\{Q\}$ ,  $[P]C[Q]$

Rule	Partial Hoare Logic
Assignment	$\vdash \{P[E/V]\}V := E\{P\}$
Precondition Strengthening	$\frac{\vdash P \Rightarrow P', \vdash \{P'\}C\{Q\}}{\vdash \{P\}C\{Q\}}$
Postcondition Weakening	$\frac{\vdash \{P\}C\{Q\}, \vdash Q' \Rightarrow Q}{\vdash \{P\}C\{Q'\}}$
Conjunction	$\frac{\vdash \{P_1\}C\{Q_1\}, \vdash \{P_2\}C\{Q_2\}}{\vdash \{P_1 \wedge P_2\}C\{Q_1 \wedge Q_2\}}$
Disjunction	$\frac{\vdash \{P_1\}C\{Q_1\}, \vdash \{P_2\}C\{Q_2\}}{\vdash \{P_1 \vee P_2\}C\{Q_1 \vee Q_2\}}$
Sequencing	$\frac{\vdash \{P\}C_1\{Q\}, \vdash \{Q\}C_2\{R\}}{\vdash \{P\}C_1; C_2\{R\}}$
Blocks	$\frac{}{\vdash \{P\}\text{BEGIN VAR } V_1; \dots; \text{VAR } V_n; C \text{ END}\{Q\}}$
Single If	$\frac{\vdash \{P \wedge S\}C\{Q\}, \vdash P \wedge \neg S \Rightarrow Q}{\vdash \text{IF } S \text{ THEN } C\{Q\}}$
Double If	$\frac{\vdash \{P \wedge S\}C_1\{Q\}, \vdash \{P \wedge \neg S\}C_2\{Q\}}{\vdash \{P\}\text{IF } S \text{ THEN } C_1 \text{ ELSE } C_2\{Q\}}$
While	$\frac{}{\vdash \{P\}\text{WHILE } S \text{ DO } C\{P \wedge \neg S\}}$
For Rule	$\frac{}{\vdash \{P \wedge (E_1 \leq V) \wedge (V \leq E_2)\}C\{P[V + 1/V]\}}$
For Axiom	$\vdash \{P \wedge (E_2 < E_1)\}\text{FOR } V := E_1 \text{ UNTIL } E_2 \text{ DO } C\{P\}$
Array Assignment	$\vdash \{P[A\{E_1 \leftarrow E_2\}/A]\}A(E_1) := E_2\{P\}$

## Reasoning About Arrays

$$\vdash A\{E_1 \leftarrow E_2\}(E_1) = E_2$$

$$E_1 \neq E_3 \Rightarrow \vdash A\{E_1 \leftarrow E_2\}(E_3) = A(E_3)$$

## Termination

If we assume that all functions in expressions terminate then total correctness is as partial correctness except for the WHILE rule.

In this case:

$$\vdash [P \wedge S \wedge (E = n)]C[P \wedge (E < n)], \quad P \wedge S \Rightarrow E \geq 0$$

$$\frac{}{\vdash [P]\text{WHILE } S \text{ DO } C[P \wedge \neg S]}$$

Where  $E$  is an integer-valued expression and  $n$  is an auxilliary variable not occurring in  $P$ ,  $C$ ,  $S$  and  $E$ .

## Verification Conditions

We require annotation in the following places:

1. Before each command  $C_i$  in a sequence  $C_1; C_2; \dots; C_n$  which is not an assignment command
2. After the word DO in WHILE and FOR commands

Rule	VCS
Assignment	$P \Rightarrow Q[E/V]$
Sequencing	$\{\{P\}C_1; \dots; C_{n-1}\{R\}\}_{VC}, \{\{R\}C_n\{Q\}\}_{VC}$ (for assignments, $R = Q[E/V]$ )
Blocks	$\{\{P\}C\{Q\}\}_{VC}$ (note block and P, Q variables disjoint)
Single If	$(P \wedge S) \Rightarrow Q, \{\{P \wedge S\}C\{Q\}\}_{VC}$
Double If	$\{\{P \wedge S\}C_1\{Q\}\}_{VC}, \{\{P \wedge \neg S\}C_2\{Q\}\}_{VC}$
While	$P \Rightarrow R, R \wedge \neg S \Rightarrow Q, \{\{R \wedge S\}C\{R\}\}_{VC}$
For Rule	$P \Rightarrow R[E_1/V]$ $R[E_2 + 1/V] \Rightarrow Q$ , (with usual conditions on loop variables) $\{\{R \wedge E_1 \leq V \wedge V \leq E_2\}C\{R[V + 1/V]\}\}_{VC}$
For Axiom	$P \wedge E_2 < E_1 \Rightarrow Q$
Array Assignment	$P \Rightarrow Q[A(E_1 \leftarrow E_2)/A]$

## Termination

Most rules are unchanged, but the WHILE rule has these other verification conditions:

- $P \Rightarrow R$
- $R \wedge \neg S \Rightarrow Q$
- $R \wedge S \Rightarrow E \geq 0$
- $\{\{R \wedge S \wedge (E = n)\}C\{R \wedge (E < n)\}\}_{VC}$

## Program Refinement

$$[P, Q] = \{C \mid \vdash [P]C[Q]\}$$

This is added to the syntax of a programming language to give a *wide spectrum* language. However, such programs are not directly executable. Note that in such a language strictly every command should be represented as a single element set.

Rule	Refinement
Skip	$[P, P] \supseteq \{SKIP\}$
Assignment	$[P[E/V], P] \supseteq \{V := E\}$
Precondition Weakening	$[P, Q] \supseteq [R, Q]$ if $\vdash P \Rightarrow R$
Postcondition Strengthening	$[P, Q] \supseteq [P, R]$ if $\vdash R \Rightarrow Q$
Sequencing	$[P, Q] \supseteq [P, R]; [R, Q]$
Blocks	$[P, Q] \supseteq \text{BEGIN VAR } V; [P, Q] \text{ END}$
Single If	$[P, Q] \supseteq \text{IF } S \text{ THEN } [P \wedge S, Q]$ if $\vdash P \wedge \neg S \Rightarrow Q$
Double If	$[P, Q] \supseteq \text{IF } S \text{ THEN } [P \wedge S, Q] \text{ ELSE } [P \wedge \neg S, Q]$
While	$[P, P \wedge \neg S] \supseteq \text{WHILE } S \text{ DO } [P \wedge S \wedge (E = n), P \wedge (E < n)]$ if $\vdash P \wedge S \Rightarrow E \geq 0$

## Higher Order Logic

Types are expressions that denote sets of values. Terms of HOL must be *well-typed* in that a type assignment to subterms exists. All binding is done via  $\lambda$  abstractions only, but syntactic sugar is provided for the common cases. Tuples are iterated pairs and may contain hetogenous types.

Conditionals are represented as  $t \rightarrow (t_1|t_2)$ . Hilberts  $\epsilon$ -operator lets you refer to values you know exist but aren't able to write down. It is defined by  $\vdash \forall P x. P x \Rightarrow P(\epsilon P)$ . Note that if the variable  $f$  does not occur in  $t$  then  $\lambda x.t$  is equivalent to  $\epsilon f. \forall x. f(x) = t$ . This can be used to construct pattern matching functions and recursive functions.

## Peano's Axioms

1. There is a number 0
2. There is a function  $Suc$  called the successor function such that if  $n$  is a number then  $Suc\ n$  is a number
3. 0 is not the successor of any number
4. If two numbers have the same successor then they are equal
5. If a property holds of 0 and if whenever it holds of a number then it also holds of the successor of the number, then the property holds of all numbers

## Primitive Recursion

$\vdash \forall x : \alpha. \forall f : a \rightarrow num \rightarrow \alpha. \exists fun : num \rightarrow \alpha. (fun\ 0 = x) \wedge (\forall m. fun(Suc\ m) = f\ (fun\ m)\ m)$

For example:  $\vdash + = PrimRec(\lambda x_1. x_1)(\lambda f\ m\ x_1. Suc(f\ x_1))$

Primitive recursion can be extended to other structures such as lists.

## Semantic Embedding

With *deep embedding*, we define the semantics of a term structure by building a function in the host logic which pattern matches on it and assigns some meaning function. This allows theorems to be proved about the embedded terms very simply. With *shallow embedding*, notational conventions are set up for translating term structures into logic terms in a syntactic manner: however, only theorems in the embedded language are provable (i.e. we cannot quantify over program terms).

We can embed our programming language in HOL using the techniques I will go on to describe. Define the type  $state = string \rightarrow num$ , so we can say, e.g.  $\llbracket X + 1 \rrbracket = \lambda s. s'X' + 1$ .

Now we can say that  $Spec(p, c, q) = \forall s_1 s_2. p\ s_1 \wedge c(s_1, s_2) \Rightarrow q\ s_2$  where the semantics of commands are:

Rule	Semantics
Skip	$\llbracket SKIP \rrbracket(s_1, s_2) = s_1 = s_2$
Assignment	$\llbracket V := E \rrbracket = Assign('V', \llbracket E \rrbracket)$
Sequencing	$\llbracket C_1; C_2 \rrbracket = Seq(\llbracket C_1 \rrbracket, \llbracket C_2 \rrbracket)$
If	$\llbracket IF\ B\ THEN\ C_1\ ELSE\ C_2 \rrbracket = If(\llbracket B \rrbracket, \llbracket C_1 \rrbracket, \llbracket C_2 \rrbracket)$
While	$\llbracket WHILE\ B\ DO\ C \rrbracket = While(\llbracket B \rrbracket, \llbracket C \rrbracket)$

Where:

$$Assign(v, e)(s_1, s_2) = (s_2 = Bnd(e, v, s_1))$$

$$Bnd(e, v, s) = \lambda x. (x = v \rightarrow e\ s\ x)$$

$$Seq(c_1, c_2)(s_1, s_2) = \exists s. c_1(s_1, s) \wedge c_2(s, s_2)$$

$$If(b, c_1, c_2)(s_1, s_2) = (b\ s_1 \rightarrow c_1(s_1, s_2)) \vee (\neg b\ s_1 \rightarrow c_2(s_1, s_2))$$

$$While(b, c)(s_1, s_2) = \exists n. Iter(n)(b, c)(s_1, s_2)$$

$$Iter(0)(b, c)(s_1, s_2) = F$$

$$Iter(Succ\ n)(b, c)(s_1, s_2) = If(b, Seq(c, Iter(n)(b, c)), Skip)(s_1, s_2)$$

Note that using these definitions all the rules of Hoare logic can be turned into logical statements about which  $Spec$  terms imply each other (with universally quantified free variables) and vice versa.

## Termination

A termination assertion is of the form  $Halts(p, c) = \forall s_1. p\ s_1 \Rightarrow \exists s_2. c(s_1, s_2)$ , This is sufficient for languages without nondeterminism i.e. where  $\vdash Det\llbracket C \rrbracket$  given  $Det\ c = \forall s_1\ s_2. c(s, s_1) \wedge c(s, s_2) \Rightarrow (s_1 = s_2)$ . It is straightforward to derive HOL theorems stating termination of all commands except for WHILE, which is shown here (including the variant  $x$ ):

$$\forall b\ c\ x. (\forall n. Spec((\lambda s. p\ s \wedge b\ s \wedge (s\ x = n)), c, (\lambda s. p\ s \wedge s\ x < n))) \wedge Halts((\lambda s. p\ s \wedge b\ s), c) \Rightarrow Halts(p, While(b, c))$$

# Weakest Preconditions

Define  $p \Leftarrow q = \forall s. q s \Rightarrow p s$  to mean  $p$  is weaker than  $q$ . Now we have:

$$\text{Weakest } P = \epsilon p. P p \wedge \forall p'. P p' \Rightarrow (p \Leftarrow p')$$

$$\text{wlp}(c, q) = \text{Weakest}(\lambda p. \text{Spec}(p, c, q))$$

$$\text{wp}(c, q) = \text{Weakest}(\lambda p. \text{TotalSpec}(p, c, q))$$

In practice we use the facts that:

$$\vdash \text{wlp}(c, q) = \lambda s. \forall s'. c(s, s') \Rightarrow q s'$$

$$\vdash \text{wp}(c, q) = \lambda s. (\exists s'. c(s, s')) \wedge \forall s'. c(s, s') \Rightarrow q s'$$

The relationship to Hoare logic is that:

$$\vdash \text{Spec}(p, c, q) = \forall s. p s \Rightarrow \text{wlp}(c, q) s$$

$$\vdash \text{TotalSpec}(p, c, q) = \forall s. p s \Rightarrow \text{wp}(c, q) s$$

Rule	WP	WLP
Skip	$q$	
Assignment	$\lambda s. q(\text{Bnd}(\llbracket E \rrbracket s) 'V' s)$	
Double If	$\lambda s. (\llbracket B \rrbracket s \rightarrow \text{wp}(\llbracket C_1 \rrbracket, s)   \text{wp}(\llbracket C_2 \rrbracket, s))$	$\lambda s. (\llbracket B \rrbracket s \rightarrow \text{wlp}(\llbracket C_1 \rrbracket, s)   \text{wlp}(\llbracket C_2 \rrbracket, s))$
Sequencing	$\vdash \text{Det } \llbracket C_1 \rrbracket \Rightarrow \text{wp}(\llbracket C_1 \rrbracket, \text{wp}(\llbracket C_2 \rrbracket, q))$	$\vdash \text{wlp}(\llbracket C_1 \rrbracket, \text{wlp}(\llbracket C_2 \rrbracket, q))$
While	$\vdash \text{Det } C \Rightarrow \exists n. \text{Iter\_wp } n \llbracket B \rrbracket \llbracket C \rrbracket q s$	$\vdash \forall n. \text{Iter\_wlp } n \llbracket B \rrbracket \llbracket C \rrbracket q s$

Where:

$$\text{Iter\_wp } 0 \ b \ c \ q = \neg b \wedge p$$

$$\text{Iter\_wp } (n + 1) \ b \ c \ q = b \wedge \text{wp}(c, \text{Iter\_wp } n \ b \ c \ p)$$